



B/O FORM PTO-1595 (1-1/92)

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PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of Conveying Party(ies):

Britta HARDY  
Avraham NOVOGRODSKY☐ Additional names of conveying parties attached.

## 3. Nature of Conveyance

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: March 19, 1995

## 2. Name and Address of Receiving Party(ies):

Name: MOR RESEARCH APPLICATIONS LTD. Israeli  
company

Internal Address:

Street Address: 11 Ben Gurion Street

City, State, Zip: Givat Shmuel 51905, Israel

☐ Additional name(s) and address(es) attached.

## 4. (A) Patent Application Number(s):

08/380,857

If this document is being filed together with a new  
application, the execution date of the application is:☐ Additional Numbers Attached.

## 4. (B) Patent Number(s):

5. Name and Address of Party to whom Correspondence  
Concerning this Document Should be Mailed:Name: Gary M. Nath  
Address: NATH, AMBERLY & ASSOCIATES  
1835 K Street, N.W.  
Suite 750  
Washington, D.C. 20006-1203

## 6. Total Number of Applications and Patents Involved:

1

## 7. Total Fee:

(37 CFR 3.41)

\$ 40.00

- ☐ Enclosed.  
☐ Authorized to be charged to deposit account.

## 8. Deposit Account Number:

14-0112

ATTACH DUPLICATE COPY OF THIS PAGE IF PAYING BY DEPOSIT ACCOUNT.

DO NOT USE THIS SPACE

## 9. Statement and Signature:

*To the best of my knowledge and belief, the foregoing is true and correct and any attached  
copy is a true copy of the original document.*

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Name of Person Signing

Gary M. Nath, Reg. No. 26,965

Date

Attorney Docket No.: 22671

Total number of pages comprising cover sheet: 1

## ASSIGNMENT

Docket No. \_\_\_\_\_

WHEREAS, the below named inventor, ASSIGNOR, has made a certain new and useful invention in :  
IMMUNO-STIMULATORY MONOCLONAL ANTIBODIES

for which

(check one) ☐ an application is being filed concurrently herewith,  
☒ an application for a Patent of the United States was filed on January 30, 1995  
☒ and given Application Serial No. 08/380,857

and WHEREAS, MOR RESEARCH APPLICATIONS LTD. Israeli company  
having a place of business at 11 Ben Gurion Street, Givat Shmuel 51905, Israel

\_\_\_\_\_, ASSIGNEE  
is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the said ASSIGNOR, has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventor's certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

ASSIGNOR authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR covenants and agrees that he has full right to convey the entire interest herein assigned, and has not executed, and will not execute, any agreement in conflict herewith.

AND ASSIGNOR further covenants and agrees that he will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

Full name of sole or first inventor Britta HARDY  
Inventor's signature X B. Hardy Date March 19 1995  
Residence 6, Eliyahu Hakkim Str., Tel-Aviv 69120, Israel Citizenship Israeli  
Post Office Address as above

Full name of second joint inventor, if any Avraham NOVOGRODSKY  
Second Inventor's signature X A. Novogrodsky Date March 19, 1995  
Residence 18 Sderot Chen, Rehovot 76469, Israel Citizenship Israeli  
Post Office Address as above

Full name of third joint inventor, if any \_\_\_\_\_  
Third Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
Post Office Address \_\_\_\_\_



CG 8/1/7 43

DECLARATION FOR PATENT APPLICATION	Atty Docket 22671
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As the below-named inventors, we hereby declare that:

Our residences, post office addresses and citizenships are as stated below next to my name.

We believe we are the original inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

***Immuno-Stimulatory Monoclonal Antibodies***

the specification of which: (check one)

☐ is attached hereto.

☒ was filed on 30.1.1995, as Serial No. 08/380,857  
and was amended on 19 (if applicable).

We hereby state that We have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR § 1.56(a).

We hereby claim foreign priority benefits under 35 U.S.C. § 119 of any foreign application(s) for patent or inventor's certificate listed below, and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Applications:

Priority Claimed

108501  
(Application No.)

Israel  
(Country)

31/01/94  
(Day/Month/Year Filed)

☒ ☐  
Yes No

                      
(Application No.)

                      
(Country)

      /      /        
(Day/Month/Year Filed)

☐ ☐  
Yes No

                      
(Application No.)

                      
(Country)

      /      /        
(Day/Month/Year Filed)

☐ ☐  
Yes No

4 We hereby appoint Gary M. Nath, Reg. No. 26,965 and Irvin A. Lavine, Reg. No. 16,838, John F. McGowan, Reg. No. 37,392, Suet Chong, Reg. No. 38,104, my attorneys to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith.

Direct Telephone Calls to:

Send Correspondence to:

Gary M. Nath  
(202) 775-8383

NATH, AMBERLY & ASSOCIATES  
Suite 750  
1835 K Street, N.W.  
Washington, D.C. 20006 U.S.A.

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by 35 U.S.C. § 112, first paragraph, we acknowledge the duty to disclose material information as defined in 37 CFR § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(U.S. Application Serial No.)

(U.S. Filing Date)

(Status--patented, pending, abandoned)

(U.S. Application Serial No.)

(U.S. Filing Date)

(Status--patented, pending, abandoned)

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

170  
Full name of sole or first inventor: Britta Hardy  
Inventor's Signature X B. Hardy Date X 19 March 1995  
Residence: Eliyah Hakkim 6, Tel Aviv 69120, Israel IX  
Citizenship: Israeli  
Post Office Address: Same as above

200  
Full name of second inventor: Avraham Novogrodsky  
Inventor's Signature X A. Novogrodsky Date X 19 March 1995  
Residence: 18 Sderot Chen, Rehovot 76469, Israel IX  
Citizenship: Israeli  
Post Office Address: Same as above

Applicant or Patentee: \_\_\_\_\_  
Serial or Patent No.: \_\_\_\_\_  
Filed/Issued: \_\_\_\_\_  
For: IMMUNO-STIMULATORY MONOCLONAL ANTIBODIES

Attorney's  
Docket No. \_\_\_\_\_



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I declare that I am \_\_\_\_\_

- ☒ the owner of the small business concern identified below:  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN MOR RESEARCH APPLICATIONS LTD. Israeli company  
ADDRESS OF CONCERN 11 Ben Gurion Street, Givat Shmuel 51905, Israel

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled  
IMMUNO-STIMULATORY MONOCLONAL ANTIBODIES by inventor(s)  
Britta HARDY and Avraham NOVOGRODSKY

described in

- ☐ the application filed herewith  
☒ application serial no. 08/380,857, filed January 30, 1995  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change of status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

TITLE OF PERSON SIGNING

ADDRESS OF PERSON SIGNING

SIGNATURE

PINAK FISHMAN - 710

General Manager

11 Ben Gurion St.

GIVAT SHMUEL, ISRAEL

DATE 3/15/95